

ANNEX III

(a) Community

**Commercial document ⁽¹⁾ to accompany wine products
originating in the United States of America**

1. Exporter (<i>name and address</i>)	2. Serial number	
3. Importer (<i>name and address</i>)	4. Competent authority in the US at place of dispatch (<i>name and address</i>) [TTB Office]	
5. Customs stamp (<i>For official EC use only</i>)	6. Date wine clears EC customs (<i>For official EC use only</i>)	
7. Means of transport and transport details	8. Intended place of delivery (if different from 3)	
9. Description of the imported product in particular: actual alcoholic strength: color of the product:		10. Quantity
11. Certificates The product described above is intended for direct human consumption, complies with the conditions governing the production and entry into circulation applicable in the United States of America, has been produced using oenological practices in accordance with the terms of the EC -U.S. Agreement on Trade in Wine, and has been produced by a winery licensed by the Alcohol and Tobacco Tax and Trade Bureau for the production of grape wine and is subject to inspection and control by the office of that agency specified above. Federal permit number (winery):		
12. Record of control for EC only. For use by competent authority	13. Signatory's company, Federal permit number and telephone number	
	14. Name of signatory	
	15. Place and date	
	16. Signature	

(¹) According to Annex III of the Agreement between the European Community and the United States of America on Trade in Wine.

Notes for drawing up the certification document

1. *Exporter:*

Full name and address in the United States.
2. *Serial number:*

A serial number which identifies the consignment in the records of the exporter (for example, the invoice number).
3. *Importer:*

Full name and address in the Community.
4. *Competent authority at place of dispatch:*

Competent authority at place of dispatch: the name and address of the US Alcohol and Tobacco Tax and Trade Bureau's local office that is responsible for verifying the information referred to in the certification document at the winery/place of production.
5. *Custom stamp:*

Custom stamp (leave blank, for EC use only)
6. *Date wine clears EC customs:*

Date of dispatch (leave blank, for EC use only)
7. *Means of transport and transport details:*

Only refer to transport used for delivery to EC port of entry:

 - Specify mode of transport (ship, air, etc.)
 - State name and address of the person responsible for transport (if different from exporter)
 - State name of ship or number of flight, etc.
8. *Place of delivery:*

If the goods are not to be delivered to the address given for the consignee (under box 3: importer), state actual place of delivery in the EC.
9. *Description of the product:*

State the following:

 - Type of product (e.g. 'Imported wine'),
 - Sale designation (e.g. as appears on label, such as name of producer and viticultural area; brandname; etc.),
 - Name of the country of origin (e.g. 'USA'),
 - Name of the geographical designation, provided the wine qualifies for such a designation (e.g. name of AVA, state, county),
 - Actual alcohol strength by volume,
 - and colour of the product (state 'red', 'rosé', 'pink' or 'white' only).
10. *Quantity:*

State the (a) type (bulk or bottle), (b) volume, (c) quantity of the containers of the wine.
11. *Certificates:*

Provide Federal permit number for the winery.

Note that the signatory warrants the veracity and accuracy of this statement.
12. *Record of control. For use by the competent authority:*

(leave blank, for EC use only)

13. *Signatory's company, Federal permit number and telephone number:*

State the name of the wine producer (person or company), federal permit number and telephone number, and where available, other contact details.

14. *Name of signatory:*

e.g. name of the wine producer, or the officer in the producers' company authorised to sign the certificate.

15. *Place and date:*

Place and date the document is signed.

16. *Signature:*

Original signature, in ink, of the person indicated in box 14.

(b) United States

The COLA application form is that entitled 'Application for and certification/exemption of label/bottle approval', produced by the US Department of Treasury, Alcohol and Tobacco Tax and Trade Bureau, TTB Form 5100.31, dated May 2005, or the most current version as posted on www.ttb.gov.
